## IN THE UNITED STATES PATENT AND TRADEMARK DEFICE 06311

Application No.:

Not Yet Assigned – 371 of PCT/JP03/13805

Filing Date:

Herewith

Applicant:

Kenji KONDO, et al.

Group Art Unit:

Unknown

Examiner:

Unknown

Title:

PERSONAL AUTHENTICATION METHOD, IRIS REGISTRATION DEVICE, IRIS AUTHENTICATION DEVICE. AND PERSONAL AUTHENTICATION

**PROGRAM** 

**Attorney Docket:** 

5077-000222/NP

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

#### I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

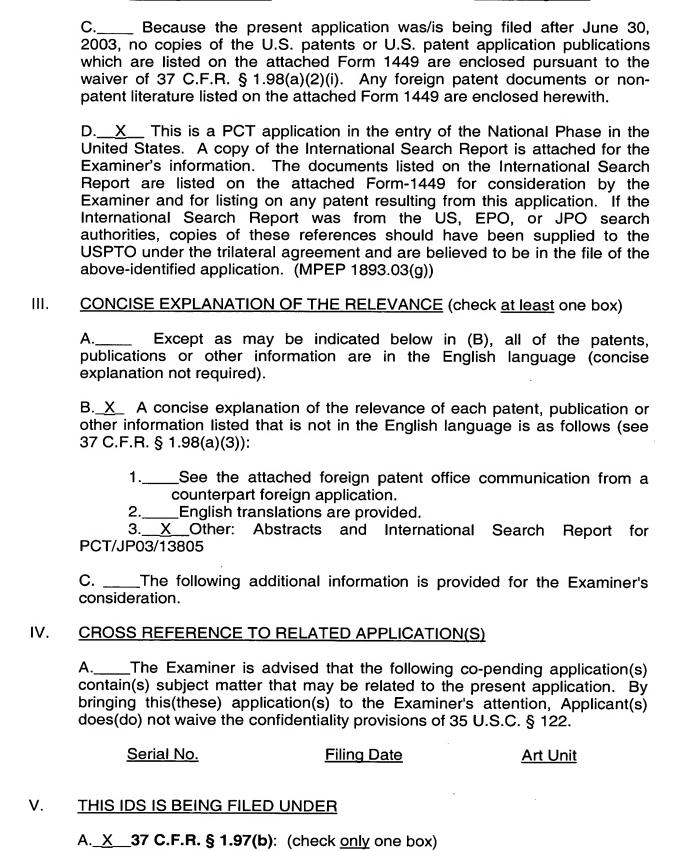
#### II. COPIES

A Submitted herewith is a legible copy of (i) each U.S. paten
application publication and U.S. and foreign patent; (ii) each publication of
that portion which caused it to be listed; (iii) for each cross-referenced
pending U.S. application listed below in Section IV, the application
specification including the claims, and any drawing of the application which
caused it to be listed including the claims directed to that portion; and (iv) al other information or that portion which caused it to be listed.

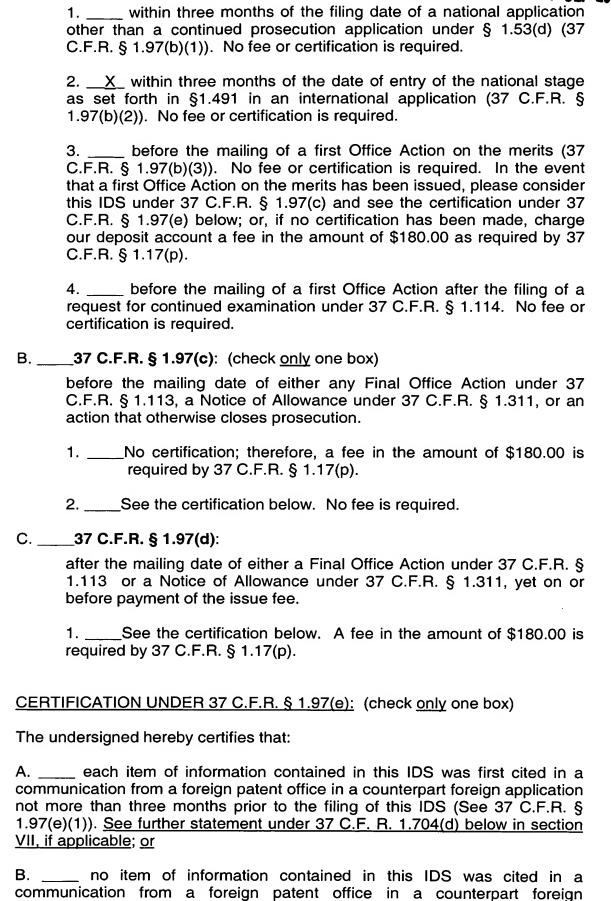
B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

#### U.S. Serial Number

U.S. Filing Date



Attorney Docket No.: 5077-000222/NP



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VI.

application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).

C. \_\_\_\_Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

#### VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

### VIII. PAYMENT OF FEES (check only one box)

A. \_\_\_\_ A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. \_\_\_\_Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

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Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: Sept 1,

By:

Gregory A. Stobbs Reg. No. 28,764

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

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FORM HDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

SERIAL NO.
GROUP

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.						

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.		1237117A2	09/04/2002	EP			
2.		2001-167279A	06/22/2001	JP			
3.		2000-194855A	07/14/2000	JP			
4.		WO 94/09446A1	04/28/1994	WIPO			
5.		5-84166	12/01/1993	JP		Abstract	
6.		2002-236665A	08/23/2002	JP		Abstract	
7.		2002-117394A	04/19/2002	JP		Abstract	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials	^		
1.		International Search Report for PCT/JP2003/013805, mailed January 27, 2004, ISA/JPO		

Examiner:	Date Considered:	